

1) CONTRACTS — CLASS 1, 2 AND 3 CONTRACTS

| Contract Reference | b) Name of contractor | c) Business address of contractor | |
|--|------------------------------|---|---------------------------------|
| 2019/09114 2 | Aqua Assests Pty Ltd | 12 Nelson Ave, Padstow, NSW 2211 | |
| 2019/09114 7 | Pipe Management Aust Pty Ltd | 37 Liverpool Street, Ingleburn NSW 2565 | |
| 2019/09115 0 | Total Drain Cleaning Pty Ltd | P O Box 6083 Wetherill Park NSW 1851 | |
| d) Details of any related body corporate of contractor who will be involved in carrying out of any of the contractor's obligations | | N/A | |
| e) Date of contract | | 29/3/2019 | |
| f) Date contract became effective | | 29/3/2019 | |
| g) Duration of contract | | 1yr Option +1 +1 | |
| h) Provide particulars of the project to be undertaken, the goods or services to be provided or real property to be leased/transferred | | Panel - Drainage Condition Assessment CCTV | |
| i) Amount payable to contractor | | i) Schedule of Rates | * Provide estimate if necessary |
| | | ii) <input checked="" type="checkbox"/> Actual <input type="checkbox"/> Estimate | |
| j) Can the amount payable under the contract be varied? | | i) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No* | * If 'no', go to question 1(k) |
| | | ii) Specify the clause of the contract | |
| | | iii) Provide further details below about how amount may be varied | |
| k) Can the contract be renegotiated? | | i) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No* | * If 'no', go to question 1(l) |
| | | ii) Specify the clause of the contract | |
| | | iii) Provide further details about how the contract can be renegotiated | |
| l) Did the contract involve a tender? | | i) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No* | * If 'no', go to question 1(m) |
| | | ii) Specify the method of tendering | |
| | | iii) Summarise the criteria against which the various tenders were assessed [this may be provided as a separate attachment] | |
| m) Is the contractor being paid for | | i) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No* * If 'no', go to question 2(a) | |

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| providing operational and/or maintenance services? | ii) Specify the clause of the contract | |
| | iii) Summarise the basis for payment (this may be provided as a separate attachment) | |

2) CONTRACTS — CLASS 2 AND 3 CONTRACTS

Additional information may need to be disclosed on the Register of Contracts if the contract is a Class 2 or Class 3 contract. If you respond 'yes' to ANY of these questions in section 2, you must also complete section 3.

| | | |
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| a) Identifying Class 2 contracts | i) Was there a tender process, where the proposed contract was NOT made publicly available and the terms and conditions of the contract were negotiated directly with the contractor? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| | ii) Have the terms of the contract been substantially negotiated (regardless of whether there was a tender)? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| | iii) Is one or more of the parties obliged to maintain or operate infrastructure or assets for 10 years or more? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| | iv) Does the contract involve a privately financed project (as defined by the NSW Treasury Guidelines)? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| | v) Does the contract involve the transfer of a significant KC asset to another party in exchange for the transfer of an asset to KC? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| b) Identifying Class 3 contracts | i) Is the contract valued at \$5 million or more? | <input type="checkbox"/> Yes* <input checked="" type="checkbox"/> No |
| | * If you responded 'yes' to this question, the Register of Contracts must contain a copy of the contract. Provide a TRIM link to the redacted contract with this form. | |

3) CONTRACTS — ADDITIONAL DETAILS REQUIRED FOR CLASS 2 AND 3 CONTRACTS

Where applicable, the following additional information is required for Class 2 and 3 contracts. Indicate if the question is relevant and provide details as required. If not relevant, choose 'not applicable' (NA).

| | | |
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| a) Provide particulars of future transfers of significant assets to KC at zero, or nominal, cost to KC, including the date of their proposed transfer | i) <input type="checkbox"/> Relevant to this contract <input checked="" type="checkbox"/> Not applicable* | * If N/A, go to question 3(b) |
| | ii) Specify details: | |
| b) Provide particulars of future transfers of significant assets to the contractor, including the date of their proposed transfer. | i) <input type="checkbox"/> Relevant to this contract <input checked="" type="checkbox"/> Not applicable* | * If N/A, go to question 3(c) |
| | ii) Specify details: | |
| c) Provide the results of any cost-benefit analysis of the contract conducted by KC | i) <input type="checkbox"/> Relevant to this contract <input checked="" type="checkbox"/> Not applicable* | * If N/A, go to question 3(d) |
| | ii) Specify details: | |
| d) Provide the components and quantum of the public sector comparator if used | i) <input type="checkbox"/> Relevant to this contract <input checked="" type="checkbox"/> Not applicable* | * If N/A, go to question 3(e) |
| | ii) Specify details: | |

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| e) Provide a summary of information used in the contractor's full base case financial model (e.g. the pricing formula for tolls or usage charges) | i) <input type="checkbox"/> Relevant to this contract <input checked="" type="checkbox"/> Not applicable* | * If N/A, go to question 3(f) |
| | ii) Specify details: | |
| f) Provide particulars of how risk, during the construction and operational phases of a contract to undertake a specific project (such as construction, infrastructure or property development), is to be apportioned between the parties, quantified (where practicable) in net present-value terms and specifying the major assumptions involved | i) <input type="checkbox"/> Relevant to this contract <input checked="" type="checkbox"/> Not applicable* | * If N/A, go to question 3(g) |
| | ii) Specify details: | |
| g) Provide particulars of any other key elements of the contract | i) <input type="checkbox"/> Relevant to this contract <input checked="" type="checkbox"/> Not applicable* | *If N/A, go to question 4 |
| | ii) Specify details: | |

4) EXCLUSION OF CONTRACTS UNDER SECTION 32 OF THE GIPA ACT

Where Class 3 contracts are not disclosed, further information must be given, including the reasons for non-disclosure.

| | | |
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| a) Is this a Class 3 Contract and is all or part of the contract to be excluded from the Register of Contracts? | i) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| b) If the answer to 4 a) is 'yes', which part(s) are excluded? | | |
| c) On what basis is the contract or part(s) thereof excluded from the Register of Contracts? | • Would it reveal commercial-in-confidence provisions of a contract? | <input type="checkbox"/> Yes* <input type="checkbox"/> No |
| | • Would it reveal details of any unsuccessful tender? | <input type="checkbox"/> Yes* <input type="checkbox"/> No |
| | • Would it reveal any matter that could reasonably be expected to affect public safety or security? | <input type="checkbox"/> Yes* <input type="checkbox"/> No |
| | • Is there an overriding public interest against disclosure (as defined under GIPA). | <input type="checkbox"/> Yes* <input type="checkbox"/> No |
| * If 'yes' to any of the above, please provide details below or on an attachment. | | |